

# Thermal form factors and form factor series for correlation functions of the XXZ chain

Frank Göhmann

Bergische Universität Wuppertal

Lyon

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- The QM interacting many-body problem – or how to deal with complexity (most interesting and most challenging problem in physics)



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- Includes: From micro- to macro-physics (hierarchy problem)
  - ① Derive thermodynamics
  - ② Derive long-time large distance asymptotic behaviour of correlation functions



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- Includes: From micro- to macro-physics (hierarchy problem)
  - ① Derive thermodynamics
  - ② Derive long-time large distance asymptotic behaviour of correlation functions
- Approaches
  - ① from no interaction to little interaction (perturbation theory)
  - ② from one particle to few particles (numerical approaches)
  - ③ from simple observables to correlation functions (1d integrability)



## How care about correlation functions of integrable systems?

- No general theory for calculation of correlation functions of integrable models



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- Rather XXZ as an example




$$H = J \sum_{j=-L+1}^L \left( \sigma_{j-1}^x \sigma_j^x + \sigma_{j-1}^y \sigma_j^y + \Delta (\sigma_{j-1}^z \sigma_j^z - 1) \right) - \frac{h}{2} \sum_{j=-L+1}^L \sigma_j^z$$

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- Methods

- 1 Representation theory of quantum groups [JMMN 92]
- 2 Functional equations of qKZ-type [JM 96, BJMST 04, AK 12]
- 3 Algebraic Bethe ansatz based approach [S 89, KMT 99, 00, GKS 04] 
- 4 Fermionic basis [BJMST 06, 08, JMS 09, BJMS 10]
- 5 Algebraic Bethe ansatz based form factor approach [S 89, KMT 99, IKMT 99, KKMST 09, 11A, 11B, 12, DGK 13] 
- 6 SoV 

- Main goals of my research
  - ① Explicit results for finite temperature correlation functions
  - ② In particular, dynamical correlaton functions at finite  $T$
  - ③ Go beyond XXZ











- Main goals of my research

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- Invaluable benefit and inspiration

-  0: Drinfel'd twists and algebraic Bethe ansatz, 1996
-  1: Form factors of the XXZ Heisenberg spin- $\frac{1}{2}$  finite chain, 1999
-  2: Correlation functions of the XXZ Heisenberg spin- $\frac{1}{2}$  chain in a magnetic field, 2000
-  3: Spin-spin correlation functions of the XXZ- $\frac{1}{2}$  Heisenberg chain in a magnetic field, 2002
-  4: Spontaneous magnetization of the XXZ Heisenberg spin- $\frac{1}{2}$  chain, 1999
-  5: A form factor approach to the asymptotic behavior of correlation functions in critical models, 2011

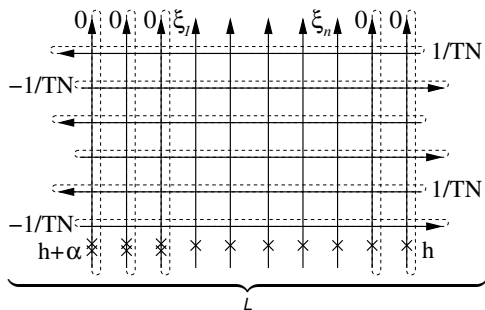
# Generalized reduced density matrix

- Integrability of XXZ chain based on the underlying quantum group  $U_q(\widehat{\mathfrak{sl}}_2)$
- From this:  $R$ -matrix, transfer matrix, quantum transfer matrix, reduced density matrix (rather than  $H(L)$ ,  $e^{-H(L)/T}$ )



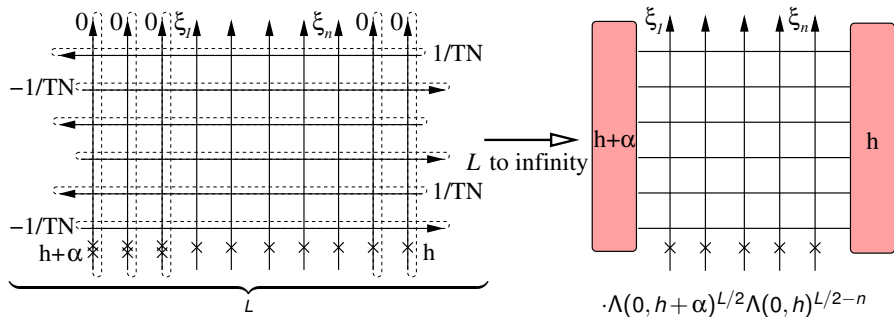
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$$\rightarrow D_{[1,n]}(\xi_1, \dots, \xi_n | T, h, \alpha, N) = \frac{\langle h + \alpha | T(\xi_1 | h) \otimes \dots \otimes T(\xi_n | h) | h \rangle}{\langle h + \alpha | \prod_{j=1}^n t(\xi_j | h) | h \rangle}$$

Generalized  
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matrix

## Reduced density matrix and QTM form factor expansion

- Here

$$T(\xi|h) = e^{\frac{h\sigma^z}{2T}} T(\xi) = \begin{pmatrix} A(\xi|h) & B(\xi|h) \\ C(\xi|h) & D(\xi|h) \end{pmatrix}$$

is the monodromy matrix corresponding to the staggered column-to-column transfer matrix in the picture



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- Using the generalized density matrix we obtain e.g. the transverse two-point functions of the XXZ chain as

$$\begin{aligned} \langle \sigma_1^- \sigma_{m+1}^+ \rangle_N &= \text{Tr} \{ D_{[1, m+1]}(0, \dots, 0 | T, h, 0, N) \sigma_1^- \sigma_{m+1}^+ \} \\ &= \frac{\langle \Psi_0 | B(0|h) t(0|h)^{m-1} C(0|h) | \Psi_0 \rangle}{\langle \Psi_0 | \Psi_0 \rangle \Lambda_0(0)^{m+1}} = \sum_{\ell} A_{\ell}^{-+} \rho_{\ell}^m \quad (*) \end{aligned}$$

where we have used the notation

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- (\*) is a large-distance asymptotic expansion for static correlation functions at finite temperature. Expressions for  $A_{\ell}^{-+}$  in the Trotter limit  $N \rightarrow \infty$  were obtained in [M DUGAVE, FG, KK KOZLOWSKI 2013]

# Thermal form factor approach

- Calculation of the thermal form factor series consists of three major steps
  - Step 1: Analyse the spectral problem of the quantum transfer matrix
  - Step 2: Calculate the amplitudes in the Trotter limit
  - Step 3: Sum the form factor series





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  - Step 1: Analyse the spectral problem of the quantum transfer matrix
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  - Step 3: Sum the form factor series
- We have been working on these problems jointly with MAXIME DUGAVE, KAROL K KOZŁOWSKI (since 2012), J SUZUKI (since 2014), M KARBACH and A KLÜMPER (since 2016)
  - DGK 2013: J. Stat. Mech. P07010 ✓
  - DGK 2014a: SIGMA **10** 043
  - DGK 2014b: J. Stat. Mech. P04012
  - DGKS 2015a: J. Stat. Mech. P05037
  - DGKS 2015b: J. Phys. A **48** 334001 ✓
  - DGKS 2016a: J. Phys. A **49** 07LT01
  - DGKS 2016b: J. Phys. A **49** 394001 ✓
  - GKKKS 2017: arXiv:1708.04062 (JSTAT to appear) ✓



# General structure appropriate for taking Trotter limit

- In [DGK 13] we considered  $A_n^{\alpha 1}(\xi|\alpha)$  and  $A_n^{-+}(\xi|\alpha)$  for finite Trotter number and in the Trotter limit. In both cases the amplitudes consist of three factors

$$A_n^{xy}(\xi|\alpha) = U_{n,s}(\alpha) F_n^{xy}(\xi|\alpha) D_n^{xy}(\alpha)$$

the universal part  $U_{n,s}(\alpha)$ , the determinant part  $D_n^{xy}(\alpha)$  and the factorizing part  $F_n^{xy}(\xi|\alpha)$ .



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- Derivation based on
  - Scalar product formula [Slavnov 89]
  - NLIE techniques [Klümper 92, 93; G, Klümper, Seel 04]
  - ‘Cauchy extraction’ [Izergin, Kitanine, Maillet, Terras 99] 
  - Factorization of multiple integrals [Boos, G, Klümper, Suzuki 06; Boos, G 09]

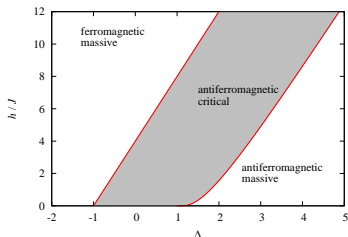
# Summation

- In general, at finite temperature, a few terms of the form factor series determine the large-distance asymptotics of the correlation functions. Under certain circumstances, however, we have to sum over infinitely many contributions
  - in the low temperature limit of the static correlation functions
  - if we want the full correlation functions at all distances
  - in the dynamical case



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- Recall the ground state phases of the XXZ chain

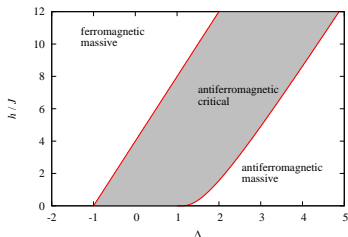


Static correlations for  $T \rightarrow 0$

- massless case:  
infinitely many  $\xi_n \rightarrow \infty$
- massive antiferromagnetic case:  
infinitely many  $\xi_n \rightarrow \xi_{\max}(h)$  ✓

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- Means of summation

- ① Massless case: 'Restricted sum formula' [KKMST 11] 
- ② Massive case: auxiliary functions and multiple residue calculus [DGKS 15A, DGKS 16B]

Example large-distance asymptotics for equal times and  $T \rightarrow 0$ 

- In [DGKS15A] (using a result of LASHKEVICH 03) we obtained an explicit formula for the next-to-leading term in the asymptotics of the static longitudinal two-point function

$$\begin{aligned} \langle \sigma_1^z \sigma_{m+1}^z \rangle &= \frac{(q^2; q^2)_\infty^4}{(-q^2; q^2)_\infty^4} (-1)^m \\ &+ A \cdot \frac{k(q^2)^m}{m^2} \left( (-1)^m - \text{th}^2(\gamma/2) \frac{(q; q^2)_\infty^4}{(-q; q^2)_\infty^4} \right) (1 + \mathcal{O}(m^{-1})) \end{aligned}$$

where

$$k(q^2) = \frac{\vartheta_2^2(0|q^2)}{\vartheta_3^2(0|q^2)}, \quad A = \frac{1}{\pi \text{sh}^2(\gamma/2)} \frac{(-q; q^2)_\infty^4 (q^4; q^4, q^4)_\infty^8}{(q^2; q^2)_\infty^2 (q^2; q^4, q^4)_\infty^8}$$

generalizing the result of the correlation length of JOHNSON, KRINSKY AND McCOY 73 (recall that  $\Delta = (q + q^{-1})/2$ ,  $q = e^{-\gamma}$ )

- Time dependent case can be analyzed in a similar way [DGKS16A]





## Asymptotics on phase boundary

- Above asymptotic result holds in the whole antiferromagnetic massive regime  $\Delta > 1$ ,  $|h| < h_\ell$ , in particular, also if the phase boundary  $h = h_\ell$  is approached from below. Hence, to leading order, on the phase boundary

$$\langle \sigma_1^z \sigma_{m+1}^z \rangle \sim (-1)^m \frac{(q^2; q^2)^4}{(-q^2; q^2)^4} \quad (*)$$



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- Remarkably this can be reproduced if we approach the phase boundary from above and introduce an appropriate scaling function. Using the techniques developed in [DGK 13a] it can be shown [Dugave 15] that, asymptotically for large  $m$  and small positive  $h - h_\ell$ ,

$$\langle \sigma_1^z \sigma_{m+1}^z \rangle \sim (-1)^m \frac{(q^2; q^2)^4}{(-q^2; q^2)^4} g(m, h)$$

where

$$g(m, h) = \frac{\sqrt{e} 2^{1/6}}{A^6} \left( \frac{2k}{1-k^2} \right)^{1/4} \left( \frac{h}{h_\ell} - 1 \right)^{-1/4} \frac{1}{\sqrt{m}}$$

and  $A$  is the Glaisher-Kinkelin constant. Approaching the phase boundary from above in such a way that  $g(m, h) = 1$  we reproduce (\*)



Full form factor series in massive regime for  $T \rightarrow 0$ 

- As a result of summing over all excited states and partially turning sums into integrals we obtain the full form factor series e.g. for the longitudinal correlation functions

$$\begin{aligned} \langle \sigma_1^z \sigma_{m+1}^z \rangle &= (-1)^m \frac{(q^2; q^2)^4}{(-q^2; q^2)^4} \\ &+ \sum_{\substack{n \in \mathbb{N} \\ k=0,1}} \frac{(-1)^{km}}{(n!)^2} \int_{-\frac{\pi}{2} - \frac{i\gamma}{2}}^{\frac{\pi}{2} - \frac{i\gamma}{2}} \frac{d^n u}{(2\pi)^n} \int_{-\frac{\pi}{2} + \frac{i\gamma}{2}}^{\frac{\pi}{2} + \frac{i\gamma}{2}} \frac{d^n v}{(2\pi)^n} e^{-2\pi i m \sum_{j=1}^n (\rho(u_j) - \rho(v_j))} \\ &\quad \times \mathcal{A}^{zz}(\{u_j\}_{j=1}^n, \{v_j\}_{j=1}^n | k) \end{aligned}$$

valid up to multiplicative temperature corrections of the form  $(1 + \mathcal{O}(T^\infty))$

$$\rho(x) = \frac{1}{4} + \frac{x}{2\pi} + \frac{1}{2\pi i} \ln \left( \frac{\vartheta_4(x + i\gamma/2, q^2)}{\vartheta_4(x - i\gamma/2, q^2)} \right)$$

is the momentum function



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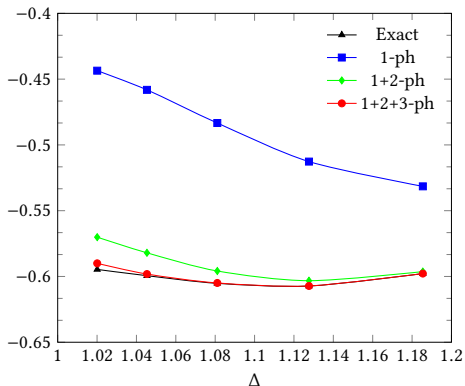
- Series is different from the previously known form factor series which were obtained by the  $q$ -vertex operator approach [Jimbo, Miwa 95] or by applying the algebraic Bethe Ansatz approach to the usual transfer matrix [DGKS 15a]

## Amplitude densities in longitudinal case

- In the massive parameter regime the amplitudes in the above form factor series were obtained explicitly in [DGKS 16B]

$$\begin{aligned}
 & \mathcal{A}^{ZZ}(\{x_i\}_{i=1}^{n_p}, \{y_j\}_{j=1}^{n_p} | k) \\
 &= \left[ \frac{2}{(1-q^4)\Gamma_{q^4}(\frac{1}{2})G_{q^4}(\frac{1}{2})} \right]^{2n_p} \left[ \prod_{j=1}^{n_p} \left(1 - e^{-2\pi i F(x_j)}\right) \left(1 - e^{-2\pi i F(y_j)}\right) \right] \\
 & \times \left[ \prod_{j,k=1}^{n_p} e^{\varphi(x_j, y_k) - \varphi(y_k, x_j)} \right] \frac{\prod_{1 \leq j < k \leq n_p} \Psi(x_j - x_k) \Psi(y_j - y_k)}{\prod_{j,k=1}^{n_p} \Psi(x_j - y_k)} \\
 & \times \frac{\sin^2\left(\frac{\pi k}{2} + \pi \sum_{j=1}^{n_p} (\rho(y_j) - \rho(x_j))\right)}{(-q^2; q^2)^4 \sin^2(\pi F(\theta))} \det_{dx, [-\pi/2, \pi/2]} (1 + \widehat{V}^-) \det_{dx, [-\pi/2, \pi/2]} (1 + \widehat{V}^+) \\
 & \times \det_{m,n=1, \dots, n_p} \left\{ \delta_{m,n} + v^-(x_m, x_n) - \int_{-\pi/2}^{\pi/2} dy v^-(x_m, y) R^-(y, x_n) \right\} \\
 & \times \det_{m,n=1, \dots, n_p} \left\{ \delta_{m,n} + v^+(y_m, y_n) - \int_{-\pi/2}^{\pi/2} dy R^+(y_m, y) v^+(y, y_n) \right\}
 \end{aligned}$$

## Form factor series efficiency

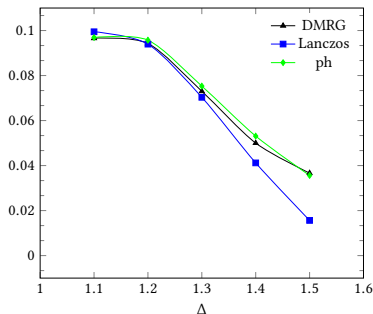
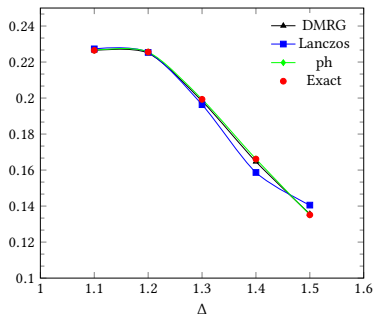


Convergence of the form factor expansion to exact exact value of  $g^{zz}(1)$  for various values of  $\Delta$ . By definition

$$g^{zz}(m) = (-1)^m \langle \sigma_1^z \sigma_{m+1}^z \rangle - \frac{(q^2; q^2)^4}{(-q^2; q^2)^4}$$

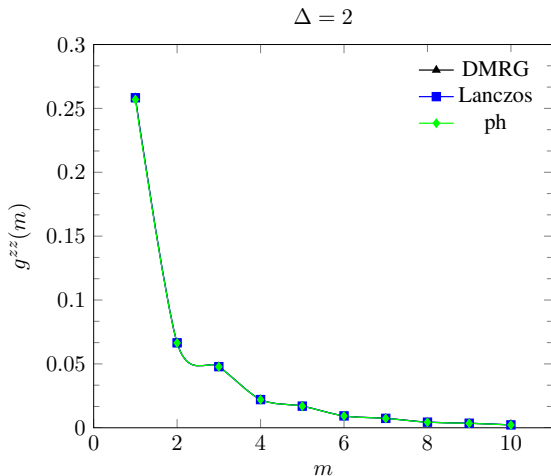
which vanishes asymptotically for large  $m$

## Form factor series efficiency



Comparison of  $g^{zz}(m)$  estimated by the Lanczos method (squares) and by DMRG (triangles) against  $g^{zz}(m)_{\text{ph}}$ . The spin distance  $m$  is 3 (left panel) or 8 (right panel). The red circles in the left panel denote the exact values

## Form factor series efficiency

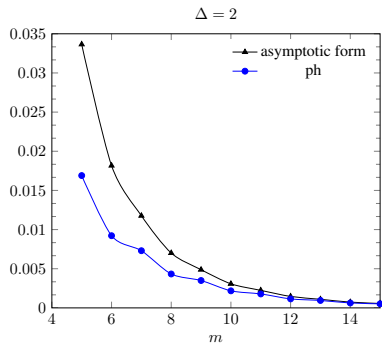
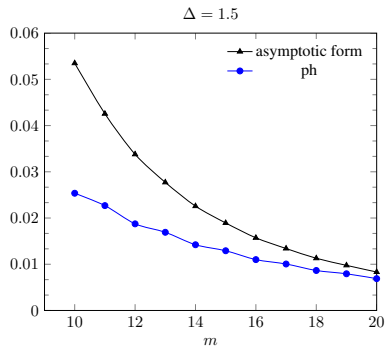


Plots of  $g^{zz}(m)$  vs.  $m$  for  $\Delta = 2$  obtained by three different methods. The curves are almost indistinguishable





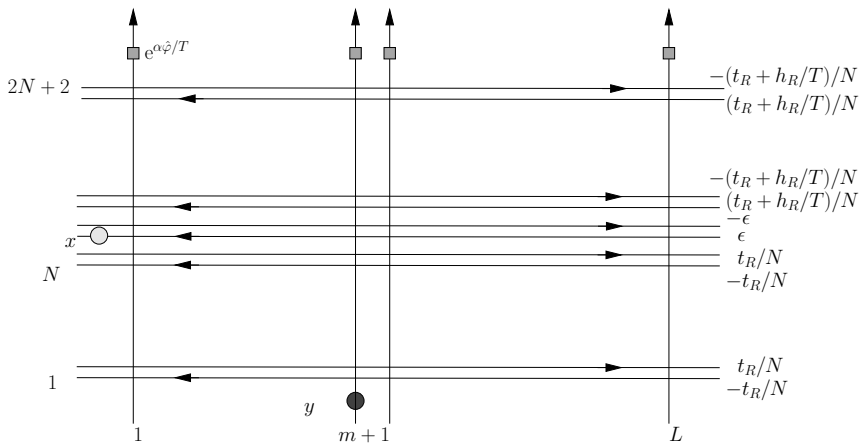
## Form factor series vs asymptotic form



Comparison of  $g^{zz}(m)$  with its asymptotic form derived in [DGKS 15A]. For  $\Delta = 1.5$  (left), due to large  $\xi$ ,  $g^{zz}(m)$  still deviates considerably from its asymptotic form. For  $\Delta = 2$  (right)  $g^{zz}(m)$  exhibits already a good agreement with the asymptotic form as  $\xi \sim 5.29$

## Thermal form factor approach to dynamical correlation functions

For the dynamical case we consider the following auxiliary vertex model, normalize by the partition function and take the Trotter limit  $N \rightarrow \infty$  [K SAKAI 2007]



## Series representation

THEOREM: The dynamical transverse two-point functions of the XXZ chain have the form-factor series expansion

$$\begin{aligned}
 \langle \sigma_1^- \sigma_{m+1}^+(t) \rangle_T &= \lim_{N \rightarrow \infty} \lim_{\varepsilon \rightarrow 0} e^{i t \alpha s(\sigma^-)} \sum_n \frac{\langle \Psi_0 | B(\varepsilon | \kappa) | \Psi_n \rangle \langle \Psi_n | C(\varepsilon | \kappa) | \Psi_0 \rangle}{\Lambda_n(\varepsilon | \kappa) \langle \Psi_0 | \Psi_0 \rangle \Lambda_0(\varepsilon | \kappa) \langle \Psi_n | \Psi_n \rangle} \\
 &\quad \times \left( \frac{\Lambda_n(0 | \kappa)}{\Lambda_0(0 | \kappa)} \right)^m \left( \frac{\Lambda_n(\frac{t_R}{N} | \kappa) \Lambda_0(-\frac{t_R}{N} | \kappa)}{\Lambda_0(\frac{t_R}{N} | \kappa) \Lambda_n(-\frac{t_R}{N} | \kappa)} \right)^{\frac{N}{2}} \\
 &= \lim_{N \rightarrow \infty} \lim_{\varepsilon \rightarrow 0} e^{-i h t} \sum_n A_n^-(\varepsilon | \kappa, \kappa) \rho_n^m(0 | \kappa, \kappa) \rho_n^{\frac{N}{2}}(t_R/N | \kappa, \kappa) \rho_n^{-\frac{N}{2}}(-t_R/N | \kappa, \kappa)
 \end{aligned}$$



## Series representation

THEOREM: The dynamical transverse two-point functions of the XXZ chain have the form-factor series expansion

$$\begin{aligned} \langle \sigma_1^- \sigma_{m+1}^+(t) \rangle_T &= \lim_{N \rightarrow \infty} \lim_{\epsilon \rightarrow 0} e^{i t \alpha s(\sigma^-)} \sum_n \frac{\langle \Psi_0 | B(\epsilon | \kappa) | \Psi_n \rangle \langle \Psi_n | C(\epsilon | \kappa) | \Psi_0 \rangle}{\Lambda_n(\epsilon | \kappa) \langle \Psi_0 | \Psi_0 \rangle \Lambda_0(\epsilon | \kappa) \langle \Psi_n | \Psi_n \rangle} \\ &\quad \times \left( \frac{\Lambda_n(0 | \kappa)}{\Lambda_0(0 | \kappa)} \right)^m \left( \frac{\Lambda_n(\frac{t_R}{N} | \kappa) \Lambda_0(-\frac{t_R}{N} | \kappa)}{\Lambda_0(\frac{t_R}{N} | \kappa) \Lambda_n(-\frac{t_R}{N} | \kappa)} \right)^{\frac{N}{2}} \\ &= \lim_{N \rightarrow \infty} \lim_{\epsilon \rightarrow 0} e^{-i h t} \sum_n A_n^-(\epsilon | \kappa, \kappa) \rho_n^m(0 | \kappa, \kappa) \rho_n^{\frac{N}{2}}(t_R / N | \kappa, \kappa) \rho_n^{-\frac{N}{2}}(-t_R / N | \kappa, \kappa) \end{aligned}$$

Here the amplitudes are of the same form as in the static case [DUGAVE, G, KOZLOWSKI 2013]. All time dependence disappears from the amplitudes in the Trotter limit.

- The sum over  $n$  is a sum over all solutions of the Bethe ansatz equations. How to deal with such sums?
- Usual transfer matrix and low- $T$  limit in [Dugave, G, Kozlowski, Suzuki 15, 16]



## Partial summation and Trotter limit

- Suggestion: Summation by means of multiple residue calculus and of shell solutions of the non-linear integral equations:

$$\begin{aligned} \langle \sigma_1^- \sigma_{m+1}^+ (t) \rangle_T &= \sum_{n=1}^{\infty} \frac{(-1)^n}{n!(n-1)!} \int_{\mathcal{C}_{0,1}} \frac{dU^n}{(2\pi i)^n} \int_{\bar{\mathcal{C}}_{0,1}} \frac{dV^{n-1}}{(2\pi i)^{n-1}} \\ &\times \left[ \prod_{j=1}^n \frac{e^{mE(u_j) - t_R e(u_j)}}{1 + \bar{a}(u_j | \{u\}, \{v\}, \kappa)} \right] \left[ \prod_{j=1}^{n-1} \frac{e^{-mE(v_j) + t_R e(v_j)}}{1 + a(v_j | \{u\}, \{v\}, \kappa)} \right] \\ &\times \mathcal{A}^{-+}(0 | \{u\}, \{v\}) e^{-iht - \int_{\mathcal{C}_{0,1}} d\mu z(\mu | \{u\}, \{v\}, \kappa) (m\epsilon(\mu) - t_R e'(\mu))} \end{aligned}$$

for the transverse correlation functions of the XXZ chain

- A similar form factor series representation can be also derived for the longitudinal correlation functions
- Reproduces known results in XX limit



# Conclusions

- Finite temperature correlation functions of the XXZ chain can be treated within a thermal form factor approach
- At finite temperature a few terms of the series determine the large-distance asymptotics of the static correlation functions [DGK 13]
- The amplitudes are conjectured to be of the form  $A = U \times F \times D$  [DGK 13]
- In the massless regime infinitely many low-lying excitations can be summed up in the low- $T$  limit to obtain the large-distance asymptotics of the two-point functions (CFT + non-CFT amplitudes) to leading non-vanishing order in  $T$  [DGK 13, DGK 14b]
- In the massive regime the full thermal form factor series can be written as a series over multiple integrals with explicit integrands [DGKS 15b, DGKS 16b]
- The latter allow us to calculate the two-point functions up to the 3-particle, 3-hole contribution [DGKS 16b] and is numerically very efficient
- Dynamical correlation functions can be treated within the thermal form factor approach. The resulting form-factor series are now being studied





**0 → 60**

Congratulations!