

qKZ equations and current fluctuations in the open ASEP

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with C. FINN.

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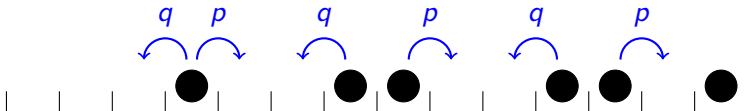
- 1 Fluctuations of the current in open ASEP
 - Definition of the model
 - Cumulants generating function of the current
 - Integrability
- 2 qKZ equations and matrix ansatz
 - Deformed ground state
 - Matrix product construction
- 3 Koornwinder polynomials and conjecture
 - Partition function and symmetric Koornwinder
 - Generating function conjecture

Fluctuations of the current in open ASEP

Asymmetric simple exclusion process (ASEP).

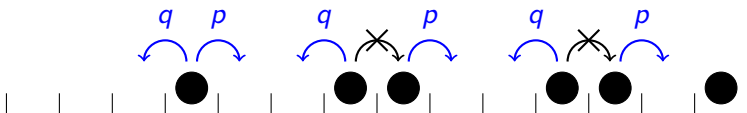


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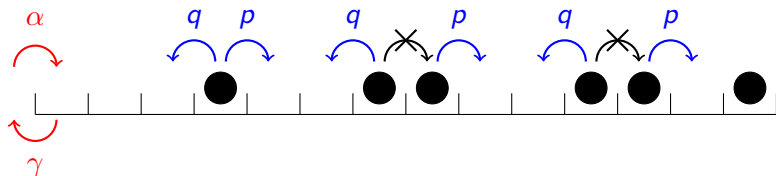
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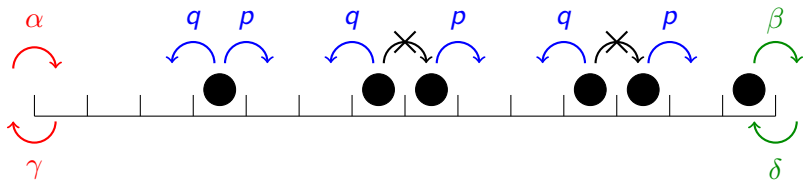
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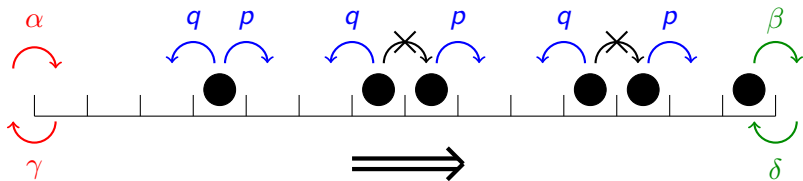
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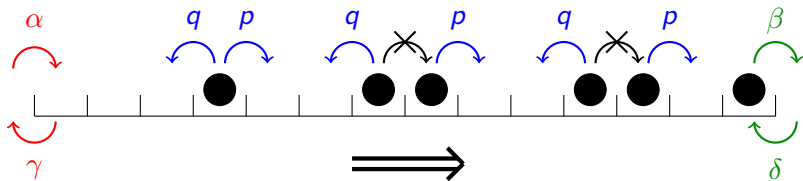
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Macroscopic particle current in the stationary state

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Macroscopic particle current in the stationary state

→ Goal: study the statistics of this current

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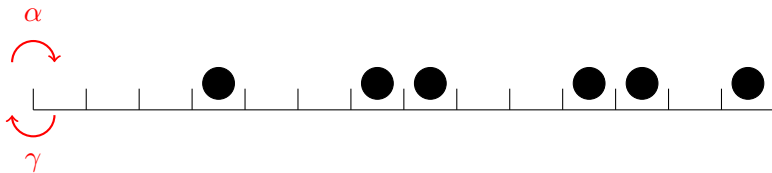
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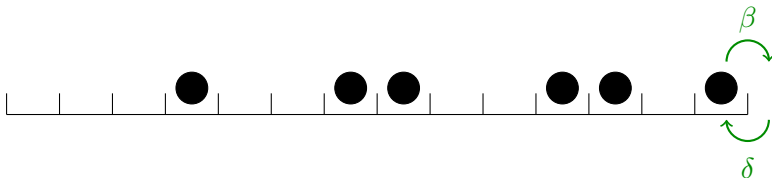
$$m_{k,k+1} = \underbrace{1 \otimes \dots \otimes 1}_{k-1} \otimes m \otimes \underbrace{1 \otimes \dots \otimes 1}_{L-k-1}$$



Markov matrix:

$$M = B_1 + \sum_{k=1}^{L-1} m_{k,k+1} + \bar{B}_L,$$

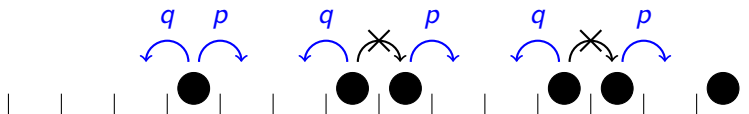
$$B = \begin{pmatrix} & |0\rangle & |1\rangle \\ \begin{matrix} -\alpha & \gamma \\ \alpha & -\gamma \end{matrix} & |0\rangle \\ & & |1\rangle \end{pmatrix}$$



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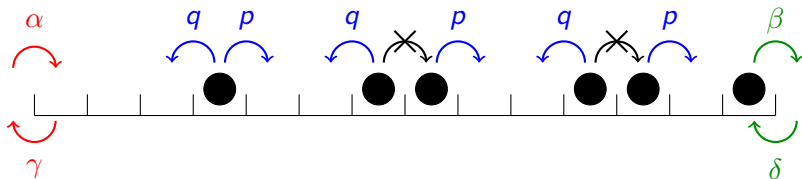
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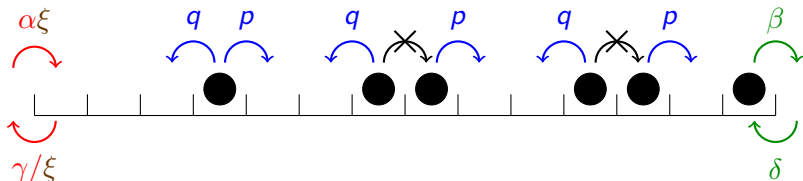
$$m = \begin{matrix} & \begin{matrix} |0\rangle\otimes|0\rangle & |0\rangle\otimes|1\rangle & |1\rangle\otimes|0\rangle & |1\rangle\otimes|1\rangle \end{matrix} \\ \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -q & p & 0 \\ 0 & q & -p & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} & \begin{matrix} |0\rangle\otimes|0\rangle \\ |0\rangle\otimes|1\rangle \\ |1\rangle\otimes|0\rangle \\ |1\rangle\otimes|1\rangle \end{matrix} \end{matrix}$$



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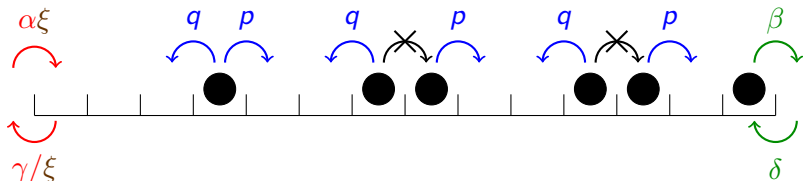
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Deformed Markov matrix:

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Deformation ξ “counts” injected/removed particles

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→ **Goal: compute this leading eigenvalue.** (Lazarescu, Mallick)

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- There exists an R-matrix

$$\check{R}(x) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{p-q}{p-qx} & \frac{p(1-x)}{p-qx} & 0 \\ 0 & \frac{q(1-x)}{p-qx} & \frac{x(p-q)}{p-qx} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

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Yang-Baxter equation

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- It ensures the integrability of the bulk dynamics

$$(q-p)\check{R}'(1) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -q & p & 0 \\ 0 & q & -p & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = m$$

- There exist K-matrices

$$K(x) = \begin{pmatrix} \frac{x^2(\gamma-\alpha)+x(\alpha-\gamma+q-p)}{x^2\gamma+x(\alpha-\gamma+q-p)-\alpha} & \frac{(x^2-1)\gamma/\xi}{x^2\gamma+x(\alpha-\gamma+q-p)-\alpha} \\ \frac{(x^2-1)\alpha\xi}{x^2\gamma+x(\alpha-\gamma+q-p)-\alpha} & \frac{\gamma-\alpha+x(\alpha-\gamma+q-p)}{x^2\gamma+x(\alpha-\gamma+q-p)-\alpha} \end{pmatrix}$$

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Reflection equations

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- It ensures the integrability of the boundary conditions

$$\frac{(q-p)}{2} K'(1) = \begin{pmatrix} -\alpha & \gamma/\xi \\ \alpha\xi & -\gamma \end{pmatrix} = B(\xi), \quad \frac{(p-q)}{2} \bar{K}'(1) = \begin{pmatrix} -\delta & \beta \\ \delta & -\beta \end{pmatrix} = \bar{B}$$

- They are the building blocks of the transfer matrix (Sklyanin)

$$t(x; \mathbf{x}) = \text{tr}_0 \left(\tilde{K}_0(x) R_{0L} \left(\frac{x}{x_L} \right) \dots R_{01} \left(\frac{x}{x_1} \right) K_0(x) R_{10}(x x_1) \dots R_{L0}(x x_L) \right),$$

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- In fact the deformed Markov matrix is related to the XXZ spin chain with integrable boundaries

$$M(\xi) = UH_{XXZ}U^{-1}$$

qKZ equations and matrix ansatz

- We would like to compute the ground state satisfying

$$M(\xi)|\Psi(\xi)\rangle = \Lambda_0(\xi)|\Psi(\xi)\rangle.$$

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$$\begin{aligned} \check{R}_{i,i+1} \left(\frac{x_{i+1}}{x_i} \right) |\Psi(\dots x_i, x_{i+1} \dots)\rangle &= |\Psi(\dots x_{i+1}, x_i \dots)\rangle \\ K_1(1/x_1) |\Psi(1/x_1, x_2 \dots)\rangle &= |\Psi(sx_1, x_2 \dots)\rangle, \\ \bar{K}(x_L) |\Psi(\dots x_{L-1}, x_L)\rangle &= |\Psi(\dots x_{L-1}, 1/x_L)\rangle. \end{aligned}$$

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- These relations are called qKZ equations.

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- The component associated to configuration $\tau = (\tau_1, \dots, \tau_L)$ of the vector $|\Psi(\mathbf{x}; s, \xi)\rangle$ writes

$$\psi_{\tau}(\mathbf{x}; s, \xi) = \langle\langle W | S A_{\tau_1}(x_1) \dots A_{\tau_{L-1}}(x_{L-1}) A_{\tau_L}(x_L) | V \rangle\rangle.$$

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- The deformed ground state is concisely written

$$|\Psi(\mathbf{x}; s, \xi)\rangle = \langle\langle W | S \mathbb{A}(x_1) \otimes \dots \otimes \mathbb{A}(x_L) | V \rangle\rangle,$$

$$\text{with } \mathbb{A}(x) = \begin{pmatrix} A_0(x) \\ A_1(x) \end{pmatrix}.$$

- In this context the qKZ equation translates into:

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- There exists an explicit polynomial solution $|\Psi^{(m)}(\mathbf{x}; s)\rangle$ when

$$\xi = s^m, \quad m \geq 1$$

Explicit construction of the solution

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The building blocks are a deformed oscillator algebra generated by \mathbf{e} , \mathbf{d} and \mathbf{S}

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and paired boundary vectors $\langle\langle w|$ and $|v\rangle\rangle$

$$\begin{aligned} \langle\langle w|(\alpha\mathbf{e} - \gamma\mathbf{d}) &= \langle\langle w|(p - q + \gamma - \alpha), \\ (\beta\mathbf{d} - \delta\mathbf{e})|v\rangle\rangle &= (p - q + \delta - \beta)|v\rangle\rangle, \end{aligned}$$

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$$\begin{aligned} \langle\langle \tilde{w} | (\alpha\mathbf{e} - \gamma\mathbf{d}) &= \langle\langle \tilde{w} | (\alpha - \gamma), \\ (\beta\mathbf{d} - \delta\mathbf{e}) |\tilde{v}\rangle\rangle &= (\beta - \delta) |\tilde{v}\rangle\rangle. \end{aligned}$$

Building on this algebra, we define

$$\mathbb{S}^{(m)} = \mathbf{S}^{2m-1} \otimes \mathbf{S}^{2m-2} \otimes \dots \otimes \mathbf{S}^3 \otimes \mathbf{S}^2 \otimes \mathbf{S},$$

$$\mathbb{A}^{(m)}(x) = \underbrace{L(x) \dot{\otimes} \dots \dot{\otimes} L(x)}_{m-1 \text{ times}} \dot{\otimes} b(x),$$

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We also define boundary vectors

$$\langle\langle W^{(m)} | = \underbrace{\langle\langle w | \otimes \langle\langle \tilde{w} | \otimes \dots \otimes \langle\langle w | \otimes \langle\langle \tilde{w} |}_{m-1 \text{ times}} \otimes \langle\langle w |$$

$$|V^{(m)} \rangle\rangle = \underbrace{|v \rangle\rangle \otimes |\tilde{v} \rangle\rangle \otimes \dots \otimes |v \rangle\rangle \otimes |\tilde{v} \rangle\rangle}_{m-1 \text{ times}} \otimes |v \rangle\rangle.$$

Main result

For integer $m > 0$ and $\xi = s^m$,

$$|\Psi^{(m)}(\mathbf{x}; s)\rangle = \frac{1}{\Omega^{(m)}} \langle\langle W^{(m)} | \mathbb{S}^{(m)} \mathbb{A}^{(m)}(x_1) \otimes \dots \otimes \mathbb{A}^{(m)}(x_N) | V^{(m)} \rangle\rangle,$$

with normalisation factor

$$\Omega^{(m)} = \langle\langle W^{(m)} | \mathbb{S}^{(m)} | V^{(m)} \rangle\rangle,$$

is a solution of the qKZ equations.

Koornwinder polynomials and conjecture

Symmetric Koornwinder polynomials

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- We define the “partition function”

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- We can show that $\mathcal{Z}^{(m)}(\mathbf{x}; \mathbf{s})$ is the symmetric Koornwinder polynomial $P_{(m)^\perp}(\mathbf{x})$.
- Symmetric Koornwinder polynomials are a family of orthogonal polynomials: they satisfy difference equations and they have a contour integral expression.

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$$\mathcal{S}_i(\mathbf{x})|_{s=1} = t(x_i|\mathbf{x}), \quad \frac{\partial}{\partial x_i} \mathcal{S}_i(\mathbf{x})|_{s=x_1=\dots=x_N=1} = \frac{2}{p-q} M(\xi).$$

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- For $s \rightarrow 1$, the scattering relation seems to degenerate into an eigenvalue equation

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We conjecture that

$$\lim_{m \rightarrow \infty} \frac{|\Psi^{(m)}(\mathbf{x}; s = \xi^{1/m})\rangle}{\mathcal{Z}^{(m)}(\mathbf{x}; s = \xi^{1/m})} = |\Psi_0(\mathbf{x}; \xi)\rangle,$$

$$\lim_{m \rightarrow \infty} \frac{\ln(\xi)}{m} \ln \left(\mathcal{Z}^{(m)}(\mathbf{x}; s = \xi^{1/m}) \right) = F_0(\mathbf{x}; \xi),$$

where $|\Psi_0\rangle$ and F_0 are smooth functions of \mathbf{x} .

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The second part of the conjecture can be rewritten with symmetric Koornwinder

$$\lim_{m \rightarrow \infty} \frac{\ln(\xi)}{m} \ln \left(P_{(m^L)}(\mathbf{x}; s = \xi^{1/m}) \right) = F_0(\mathbf{x}; \xi).$$

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- The vector $|\Psi_0(\mathbf{x}; \xi)\rangle$ is an eigenvector of the scattering matrices at $s = 1$

$$S_i(\mathbf{x}; 1, \xi) |\Psi_0(\mathbf{x}; \xi)\rangle = \exp\left(x_i \frac{\partial F_0}{\partial x_i}(\mathbf{x}; \xi)\right) |\Psi_0(\mathbf{x}; \xi)\rangle.$$

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- We thus get a formula for the cumulants generating function of the current

$$E(\mu) = \Lambda_0(e^\mu) = \frac{p - q}{2} \frac{\partial^2 F_0}{\partial x_i^2}(\mathbf{1}; e^\mu).$$

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- It translates into a differential equation for F_0 :

$$\sum_{i=1}^L g_i(\mathbf{x}) \left[\exp \left(x_i \frac{\partial F_0}{\partial x_i}(\mathbf{x}; \xi) \right) - 1 \right] + \sum_{i=1}^L g_i(\mathbf{x}^{-1}) \left[\exp \left(-x_i \frac{\partial F_0}{\partial x_i}(\mathbf{x}; \xi) \right) - 1 \right]$$

$$= \frac{1 - \left(\frac{p}{q}\right)^L}{1 - \frac{p}{q}} (\xi - 1) \left(\frac{\alpha \beta}{\gamma \delta} \left(\frac{p}{q}\right)^{L-1} - 1/\xi \right)$$

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$$g_i(\mathbf{x}) = \frac{(\gamma - (p - q + \gamma - \alpha)x_i - \alpha x_i^2)(\delta - (p - q + \delta - \beta)x_i - \beta x_i^2)}{\gamma \delta (1 - x_i^2)^2}$$

$$\times \prod_{\substack{j=1 \\ j \neq i}}^L \frac{(qx_j - px_i)(q - px_i x_j)}{q^2 (x_j - x_i)(1 - x_i x_j)},$$

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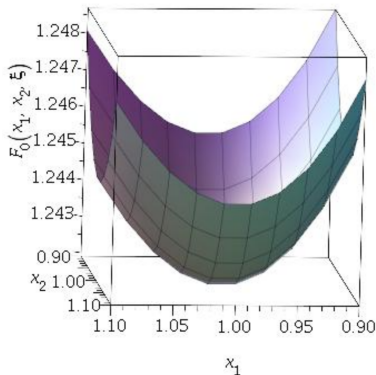
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Thank you!